Leonardo Zilio and Besim Kabashi

USING NEURAL MACHINE TRANSLATION FOR NORMALISING HISTORICAL DOCUMENTS

Abstract The work with historical documents presents many challenges, not only because some sources are not well preserved, but also because grammar and spelling rules from older times were not always consistent. Still, these texts remain as a rich source of information from our history, and we could greatly benefit from the information that can be extracted from them. At the same time, the lack of spelling and grammatical consistency poses a problem for the application of computational tools, so most of the analysis work is done manually. To overcome this lack of consistency, researchers started normalising the spelling of historical documents, as this increases the performance of modern tools. Spelling normalisation is, however, also carried out manually most of the time. In this paper, we present some experiments that were done for automatically normalising historical documents in two languages: Portuguese and Albanian. Leveraging state-of-the-art large language models that were pre-trained for translation, we used corpora that were carefully curated and manually normalised to train new computational models. These models can automatically normalise documents in these languages, achieving new state-of-the-art BLEU scores above 90 for Portuguese, and up to 59 for Albanian, beating the task baselines.

Keywords historical linguistics; Albanian; Portuguese; spelling normalisation; computational linguistics; large language models; neural machine translation

1. Introduction

Historical documents have proven time and time again to be an enormous challenge for the automatic processing and extraction of information (cf. Quaresma & Finatto, 2020; Vieira et al., 2021; Cameron et al., 2022; Zilio et al., 2022; Zilio et al., 2023; Vikomir & Herndon, 2024). While there is progress in the field of natural language processing (NLP) for historical texts, most of the tools are still developed with a focus on modern iterations of language, and only a few studies have been dedicated to the computational processing of historical documents in their original spellings.

To help mitigate issues caused by spelling differences, researchers started resorting to normalising the writing of historical documents (Piotrowski, 2012; Bollmann & Søgaard, 2016; Bawden et al., 2022; Vieira et al., 2024); that is, they started updating the spelling of historical texts using modern-day orthographic rules. This enables modern tools to extract data from historical documents with higher precision, as word forms in modern spelling have higher probability of having been present in their training data. However, normalisation work still is mainly done manually and is very time consuming.

Having this scenario as background, the objective of this study is to explore more automatised ways of producing a normalised text from a source historical document.

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As such, this paper extends the work of several researchers that already explored machine translation methods for text normalisation (cf. Bollmann & Søgaard, 2016; Domingo & Casacuberta Nolla, 2018; Domingo & Casacuberta Nolla, 2019), with the novelty that we investigate more recently introduced transformer-based large language models (LLMs). Another novelty is that we concentrate our efforts on languages that have been relatively less studied in terms of historical documents and automatic normalisation: Portuguese and Albanian. Our method is based on fine-tuning already existing neural machine translation (NMT) models, so we do not need large amounts of data, and a corpus composed of a few thousand words is already enough to achieve good results.

The main contributions of this paper are the following:

- An experiment using fine-tuned NMT models for the task of spelling normalisation of historical documents.
- The release of a new parallel corpus of Albanian texts, containing 12,677 tokens of original spelling and 12,836 tokens of normalised spelling, which can be used for fine-tuning NMT models.
- A comparison of performance for automatic normalisation in Portuguese and Albanian.

The remainder of the paper is organised in the following way: Section 2 discusses previous work done in the area of normalisation and automatic normalisation, while also commenting on previous efforts of applying natural language processing tools to historical documents; Section 3 presents the corpora that we used as basis for this study; Section 4 describes the methodology and the LLMs that we used for the automatic normalisation of historical documents; on Section 5 we present the results of the experiments for both languages, discussing the performance of individual LLMs; finally, on Section 6 we present our final remarks, discussing the main achievements of this study.

2. Related Work

Many authors have faced the challenge of using NLP methods for working with historical documents. Although we have not found any register for works dealing with historical data in Albanian in this setting, some studies dealt with the automatic spelling normalisation using machine translation models as basis. However, most of these studies do not take advantage of the more recent transformer models, and none that we could find uses the fine-tuning of large language models as a means to achieve spelling normalisation.

More recent studies involving NMT for spelling normalisation usually rely on an encoder-decoder, character-based architecture based on long-short term memory (LSTM) models (*cf.* Bollmann & Søgaard, 2016; Domingo & Casacuberta Nolla, 2018; Domingo & Casacuberta Nolla, 2019). While these studies make sense, by modelling

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the spelling normalisation problem as a character-based replacement, Tang et al. (2018) have already hinted that subword tokens can provide a better solution to character-based models. This points to the use of subword embeddings as basis for the automatic normalisation. However, the study by Tang et al. (2018) is the only one that we could find that tests subwords for this task, and neither Portuguese nor Albanian are among the tested languages.

In the subject of languages in focus, studies on automatic normalisation are concentrated around European languages. Bollmann (2019) developed a large comparison of automatic spelling normalisation methods for English, German, Hungarian, Icelandic, Portuguese, Slovene, Spanish, and Swedish. Other studies focused on fewer languages, such as the work of Domingo & Casacuberta (2019) for Slovene and Spanish, Bawden et al. (2022) for French, and Robertson (2017) for English, German, Icelandic, and Swedish. For Portuguese, we are only aware of the above-mentioned work by Bollmann (2019), who used a corpus of letters from the 15th to 19th century that was made available by the Post Scriptum project (CLUL, 2014). More recently, researchers at the University of Évora started working with text normalisation. Cameron et al. (2023) developed a categorisation of variants, which can support the normalisation of historical Portuguese texts, and Olival et al. (2023) described the normalisation of six documents belonging to the *Parish Memories* collection. In addition to text normalisation, there are studies that use historical data in different NLP tasks, such as named-entity recognition (cf. Ehrmann et al., 2023) and textual complexity (cf. Zilio et al., 2023).

As for the Albanian language we could not find any register of work being done on any automatised task related to historical documents, and this study is possibly the first of its kind, presenting a new normalised dataset and results for automatic spelling normalisation of historical documents.

3. Historical Corpora

3.1 The Portuguese Corpus

The Portuguese sample was collected from three medical handbooks published in the 18th century. It was originally released by Zilio et al. (2024) and is freely available for download on Github in several formats, including train, validation and test splits as TSV files[1]. Table 1 presents the number of tokens, sentences and overall statistics of the historical corpus as observed with AntConc (Anthony, 2005). As we can see, it is by no means a large corpus (especially considering today's age of big data), containing a little over 24 thousand tokens, but the results are nonetheless very impressive, as we will discuss later in Section 5.

This corpus was used mainly as a source of comparison for the work done on the Albanian language. This way, we add results from two new models that were not tested in the original paper (as can be seen in Section 5), and we discuss the results of a low-resourced language when compared to a higher-resourced language.

[1] Available at: https://github.com/uebelsetzer/automatic_normalisation_of_historical_documents.

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Table 1: Description of the Portuguese historical corpus, considering both the original spelling and the normalised spelling. The type ratio represents the ratio between types in the original corpus and the types in the normalised corpus and is an indicator of spelling variation in the original documents. T/S = average tokens per sentence

	Portuguese historical corpus	
	Original	**Normalised**
Tokens	24,504	24,815
Types	5,584	5,341
Type ratio	N/A	1.05
Sentences	453	453
T/S	54.09	54.78

3.2 The Albanian Corpus

The Albanian corpus consisted of samples selected from three religious books from the 17th century. All tree samples were written by Pjetër Budi (1566–1622), whose Italian name variant was Pietro Budi. He was born in Gur i Bardhë, in Mat, a region in central Albania and he was an Albanian Catholic bishop.

The three selected books were published for the first time between 1618 and 1621. The first sample (ca. 20 pages) was selected from *Doctrina Cristiana*, or *Doktrina e Kërshtenë* (*The Christian Doctrine*). It was originally published in Rome, 1618 and has 286 pages (cf. Svane, 1985). The second sample (ca. 20 pages) was extracted from *Rituale Romanum*, or *Rituali Roman* (*Roman Ritual*). This book was published in Rome in 1621 and has 376 pages (cf. Svane, 1986a). The third sample (ca. 20 pages) was selected from *Speculum Confessionis*, or *Pasëqyra e t'rrëfyemit* (*The Mirror of Confession*). It was published in Rome in 1621 and has 409 pages (cf. Svane, 1986b). As usual at that time, the texts were printed following the spelling used by the author, which in some cases is not consistent.

Table 2: Description of the Albanian historical corpus, considering both the original spelling and the normalised spelling. The type ratio represents the ratio between types in the original corpus and the types in the normalised corpus. T/S = average tokens per sentence

	Albanian historical corpus	
	Original	**Normalised**
Tokens	12,677	12,836
Types	2,216	2,235
Type ratio	N/A	0.99
Sentences	307	307
T/S	41.29	41.81

Table 2 displays quantitative information about the whole corpus in its original and normalised versions. As it can be seen, the normalised corpus contains more types than the original, which indicates that, perhaps because of Albanian being a language

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with casus declination, the normalised version ends up having different spellings for words that were spelled in the same way in the historical documents. Another explanation for this could be that many words had to be split into separate words in the normalised version, resulting in more types.

4. Methodology

The methodology that we used in this study is very straightforward. We started by manually normalising the spelling of historical documents and then aligned the original and the normalised versions of the same text. These alignments are mostly done at the sentence level, however, for Albanian, we preferred to split sentences also at semi-colons, to have more segments available for training. These aligned segments were then used for fine-tuning existing transformer-based neural machine translation models, which were then applied to automatically normalise historical documents in the trained languages and domains.

The process of normalising a historical document consists of updating the spelling of words in a way that they match modern spelling standards of the language in focus. For Portuguese, the documents were normalised using modern Brazilian Portuguese spelling standards (cf. Zilio et al., 2024), while, for Albanian, the modern spelling norm (1972) was used. Here are examples of original and normalised versions of two short segments in Portuguese (Examples 1 and 2) and in Albanian (Examples 3 and 4):

1. He opiniaõ praticada pelo Cirurgiaõ Mór do nosso Hospital Real de Elvas Francisco Xavier , cuja sciencia he notoria . **(Original)**
2. É opinião praticada pelo Cirurgião-Mor do nosso Hospital Real de Elvas Francisco Xavier, cuja ciência é notória. **(Normalised)**
3. E kshtu mb atë ças vojta , zuna fiill përserii ; **(Original)**
4. E kështu më atë çast vajta , zura fill përsëri ; **(Normalised)**

As it can be seen from these examples, there are some different choices that were made by the normalisers of both languages. For instance, in Portuguese, the punctuation was also normalised to correspond to the standards of modern Brazilian Portuguese, while in Albanian the punctuation was kept as in the original text.

The two corpora presented in Section 3 were split into train, development, and test sets for each of the languages. This is standard procedure for preparing the corpus for fine-tuning NMT models. The two corpora were split a bit differently, based on the composition of each corpus.

In the case of Portuguese, full texts (i.e., individual chapters) were preserved in the train and development sets, and in the test set. This resulted in a division, based on number of tokens, of approximately 74% for training, 8% for development, and 18% for testing, as can be seen in Table 3.

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Table 3: Train, development and test sets derived from the Portuguese historical corpus, considering both the original spelling and the normalised spelling. T/S = average tokens per sentence

	Train		Development		Test	
	Original	Normalised	Original	Normalised	Original	Normalised
Tokens	18,047	18,286	2,038	2,067	4,419	4,462
Types	3,386	3,213	826	803	1,372	1,325
Type Ratio	-	1.05	-	1.03	-	1.04
Sentences	342	342	38	38	73	73
T/S	52.77	53.47	53.63	54.39	60.53	61.12

Table 4 shows the data splits for Albanian. Because there is no clear subdivision in the texts that were used (except for coming from the three different sources), we simply split segments from each of the three samples into train, development and test set, making sure that segments from sentences that were split at semi-colon remained on the same set. This resulted in a more even split of 70% of the segments for training (~74% of tokens), 10% for development (~6% of tokens), and 20% for testing (~21% of tokens).

Table 4: Train, development and test sets derived from the Albanian historical corpus, considering both the original spelling and the normalised spelling. T/Seg = average tokens per segment

	Train		Development		Test	
	Original	Normalised	Original	Normalised	Original	Normalised
Tokens	9,345	9,484	709	695	2,623	2657
Types	1,830	1,838	299	291	777	770
Type Ratio	N/A	1	N/A	1.03	N/A	1.01
Segments	241	241	35	35	70	70
T/Seg	38.77	39.35	20.26	19.86	37.47	37.96

4.1 NMT models

The models we used in this study had to contain both Portuguese and Albanian in their set of trained languages, and they also needed to be trained in a many-to-many fashion, i.e., both languages had to have been presented both as source and as target during the training process. This severely limited our scope, as there are not many models that include Albanian in their training data, so we had to settle for three models, which were directly downloaded from the Huggingface repository (https://huggingface.co/):

- **m2m 100 (m2m100)**[2]: resulting from the work of Fan et al. (2021), the m2m models include a hundred languages trained in a many-to-many fashion, i.e., each language can be translated directly into the other, without using intermediate languages as point of reference. The base model contains 1.2

[2] For more information: https://huggingface.co/facebook/m2m100_418M.

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billion parameters, but it was not supported by our graphics card, so we used a smaller version, with 418 million parameters.

- **NLLB-200 (NLLB)**[3]: the *No language left behind* paper (NLLB Team, 2022) had a huge impact on the machine translation community, as it offers the largest combination of languages to date, while focusing on low-resourced ones. While it contains many languages in its training data, it is also a less focused model, and while it works in advancing the machine translation state of the art for some low-resourced languages, it might not perform as brilliantly for highly resourced ones, such as Portuguese, when compared to models that include fewer languages. Again, we could not use the model's base version, because our graphics card did not support it, we instead used a distilled version that contains 600 million parameters.

- **SMaLL 100 (sm100)**[4]: as the name suggests, this is a much smaller version of the m2m100 model. Developed by Mohammadshahi et al. (2022), this model still contains all 100 languages trained in a many-to-many fashion, but its distillation process was focused on preserving information for low-resourced languages. Again, we have to observe how this focused distillation might have an impact on its performance for both Portuguese and Albanian.

All models were trained using an Nvidia RTX 4090 with 24GB of RAM using the standard *transformers* library as provided by Huggingface[5] (Wolf et al. 2020) for Python. All models were fine-tuned with the same parameters: learning rate of 2e-5, weight decay of 0.01, and 100 epochs (but only the best epoch was saved at the end based on BLEU score performance on the development set). Batch sizes had to be changed according to the model size, so that the GPU could load them into memory: 8 for m2m and sm100, and 6 for NLLB.

4.2 Evaluation metric

We evaluated all models using the BLEU score metric (Papineni et al., 2002), which is commonly used for assessing the performance of machine translation engines. There is much criticism against BLEU scores, because the metric indeed has several shortcomings when used for evaluating machine translation. BLEU is a metric that compares the number of n-grams in the target text with reference text(s) and produces a score from 0 to 100.

One of the downsides of BLEU is reproducibility. Because any size and combination of n-grams can be used, its reproducibility depends on a well-described methodology. To address this issue, Post (2018) introduced SacreBLEU, which is a standard way of obtaining BLEU scores. In this paper, we used the *evaluate* library's implementation of SacreBLEU for assessing BLEU scores for each model.

[3] For more information: https://huggingface.co/facebook/nllb-200-distilled-600M.

[4] For more information: https://huggingface.co/alirezamsh/small100.

[5] The *transformers* library is available on Github: https://github.com/huggingface/transformers.

Another downside of BLEU is that it assesses the target text using one or multiple reference texts. These references themselves may be questionable target texts, and they do not necessarily invalidate other equally correct translation options for a given source text. As such, a lower BLEU score might be just a reflex of different translation choices in the references. In our case, this issue is mitigated, because most of the time there is no alternative correct option for a given token in the normalisation pipeline. Most words in historical documents can only be normalised to one single form using modern spelling standards.

As a baseline for comparing the improvement that was achieved by the NMT models for the normalisation task, we used the original text and scored it against the normalised version. This way we have a baseline for what is the BLEU score in case no change is made in the original document. As for assessing the internal improvement of the NMT models with fine-tuning, we used their respective non-fine-tuned versions as baseline.

5. Results

Table 5 presents the results in terms of BLEU scores for off-the-shelf models, which serve as baselines for the fine-tuning, and fine-tuned models. The task baseline, i.e., the BLEU score of the original test set when compared to the normalised test set, is shown in the middle, as no off-the-shelf model was able to score higher than this baseline.

For Portuguese, the experiment with fine-tuning m2m100 provided a new state-of-the-art for automatic spelling normalisation in historical medical documents in the proposed dataset, as it beat the previous best score achieved by a fine-tuned mBART model. The fine-tuned m2m100 model not only had the best score, but its non-fine-tuned version also achieved the best off-the-shelf score. Models that were more focused on low-resourced languages, such as NLLB and sm100 achieved inferior results in this test set.

For Albanian, the results show that sm100 was the best model, technically tied with m2m100 because of rounding, but actually 0.0061 BLEU points ahead. As we can see from Table 5, normalising Albanian historical documents from the 17th-century is a much more complex task than 18th-century Portuguese, as shown by the task baseline of 16.78 BLEU points, compared to 62.07 in Portuguese. The off-the-shelf models had a really hard time achieving any sort of readable output, and sm100 had the best score, with 6 BLEU points, which goes to show how far behind low-resourced languages still are when compared to higher resourced ones. Upon fine-tuning, we can see a good improvement, moving the scores up to 59 BLEU points. This improvement for m2m100 and sm100 is actually higher for Albanian than for Portuguese, as it represents a jump of more than 50 BLEU points, while in Portuguese the jump was a bit over 30 BLEU points.

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Table 5: BLEU-score results on Portuguese and Albanian test sets, separated into off-the-shelf models, task baseline, and fine-tuned models. * Scores for mBART were reproduced from Zilio et al. (2024) because this model had the former best performance for normalisation in the Portuguese dataset; unfortunately, this model does not cover Albanian.

	SacreBLEU	
Model	**18th-century Portuguese**	**17th-century Albanian**
Off-the-shelf models		
mBART*	30.73	N/A
m2m100	**57.98**	3.15
NLLB	40.64	2.97
sm100	57.44	**6.00**
Task baseline		
No changes in test set	62.07	16.78
Fine-tuned models		
mBART*	88.20	N/A
m2m100	**90.31**	**59.08**
NLLB	83.65	43.39
sm100	89.04	**59.08**

6. Discussion and Final Remarks

In this paper we leveraged large language models (LLMs) that were pre-trained for neural machine translation (NMT) to explore the feasibility of automatising the spelling normalisation of historical documents. As basis for our experiments, we used an existing corpus of medical texts in Portuguese and developed a completely new corpus of Albanian religious texts. This new Albanian dataset is being released with this paper and is available on Github[6].

We selected three LLMs that were pre-trained for NMT and included both Portuguese and Albanian as source and target languages. Each of these models includes a variety of languages, and we purposefully selected two models that were variants of each other, where one of the variants was a distilled version focused on low-resourced languages, so we could see the influence of removing embeddings for certain tokens when dealing with a higher resourced language, such as Portuguese, when compared to a low-resourced language, such as Albanian.

For Portuguese, a new state of the art in spelling normalisation was achieved by using the m2m100 model, which surpassed the performance of mBART, the previous state of the art for medical documents (cf. Zilio et al., 2024) by more than 2 BLEU-score points. This model also achieved the best off-the-shelf result (i.e., without fine-tuning), even if it still did not score above the task baseline. The distillation

[6] Available at: https://github.com/uebelsetzer/NMT4AlbanianNormalisation.

performed on m2m100 to create sm100 indeed reduced the size of the generated models, making them lighter to use: m2m100 models occupy 5.41GB of space, while sm100 models have a size of 3.72GB (approximately 69% of the size). However, this came at a small cost of performance for Portuguese, as the result, although marginally better than mBART, was still more than one BLEU-score point below its larger version.

For Albanian, the models achieved more than 40 BLEU points above the task baseline after fine-tuning. The distillation process focused on low-resourced languages did not seem to have much impact on the final scores, as sm100 did not achieve a much higher score than its non-distilled counterpart, and results from off-the-shelf m2m100 with 1.2 billion parameters (5.47 BLEU points) indicate that it could surpass sm100 upon fine-tuning.

As far as limitations go, there are a few elements that should be mentioned. One of the main restrictions of this paper is that we had a single RTX 4090 for running the experiments, which did not allow us to fine-tune larger models, such as the m2m 100 1.2GB[7] or the NLLB 200 3.3GB[8]. These models, when tested on the Portuguese dataset using their off-the-shelf versions, produced BLEU scores of 63.35 and 9.18, respectively. As such, this larger m2m100 was the first off-the-shelf model to score above the baseline, which points to potential state-of-the-art performance after fine-tuning, and, although the large NLLB model had an abysmal score before fine-tuning, it is a model that has great potential for improvement, as it has information collected from a couple hundred languages.

Another limitation was the size of the datasets. Because all the spelling normalisation work had to be done manually, collecting more data is a very time-consuming work. As such, the Albanian corpus, which started being constructed much later than the Portuguese corpus, is not as large, and thus there is probably room for improvement if we can manage to have a larger corpus with samples from more authors.

Even with these limitations, this study managed to contribute with a new dataset and new state-of-the-art models for automatic spelling normalisation for both Portuguese and Albanian. We hope that these resources will help advance the studies in Historical Linguistics, and we expect to use them to extend the existing datasets, as these models can potentially speed up the normalisation process, by turning it into post-editing instead of a fully manual task.

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[7] For more information: https://huggingface.co/facebook/m2m100_1.2B.

[8] For more information: https://huggingface.co/facebook/nllb-200-3.3B.

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Contact information

Leonardo Zilio
Friedrich-Alexander-Universität Erlangen-Nürnberg, Germany
leonardo.zilio@fau.de

Besim Kabashi
Friedrich-Alexander-Universität Erlangen-Nürnberg, Germany
besim.kabashi@fau.de